

# Geometric Reasoning in Lean

from algebraic structures to presheaves

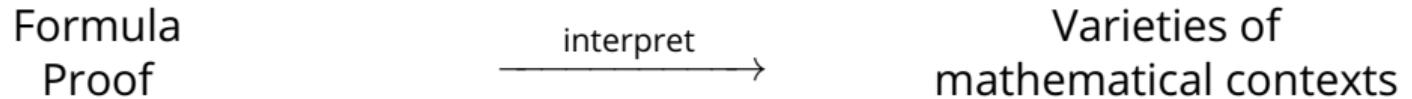
Kenji Maillard <sup>1</sup> Yiming Xu <sup>2</sup>

<sup>1</sup>Inria, Nantes, France

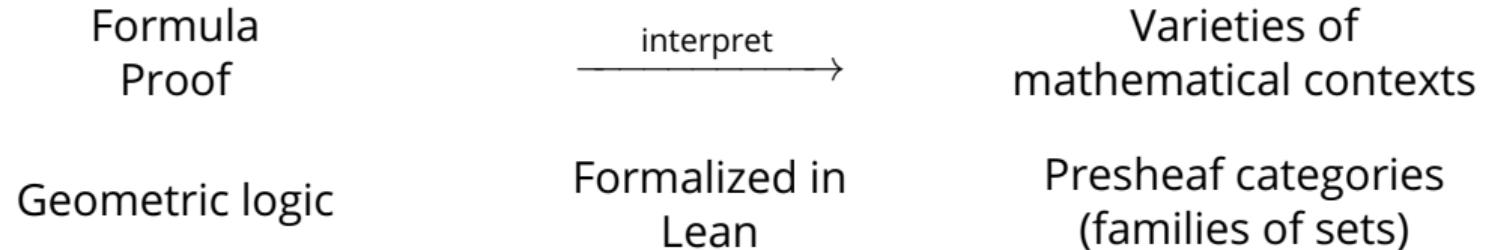
<sup>2</sup>Ludwig-Maximilian Universität, München, Germany

June 8, 2025

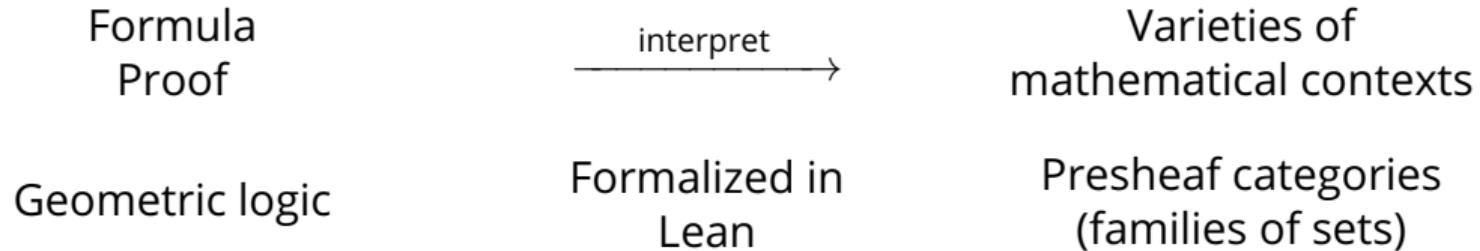
# Motivation



# Motivation



# Motivation



- Geometric logic: a variant of first-order logic.
- Expressiveness: semigroups, monoids, division rings, fields, etc.
- Versatile: interprets into lots of categories.
- Transferable: interpretations **preserved** by enough functors.

## Why do this in Lean?

- Actively-developed proof assistant

## Why do this in Lean?

- Actively-developed proof assistant
- Dependently typed

## Why do this in Lean?

- Actively-developed proof assistant
- Dependently typed
- Mathlib: large library of mathematics, including category theory

## Why do this in Lean?

- Actively-developed proof assistant
- Dependently typed
- Mathlib: large library of mathematics, including category theory
- Meta-programming potential

# Geometric Logic

- Terms  $t$  generated from a finitary monosorted signature  $\Sigma$
- Formulas  $\phi$  built with
  - atoms  $\top, \perp$ , predicates  $P(t_i) \in \Sigma$
  - equalities  $t_1 = t_2$
  - binary conjunction  $\phi_1 \wedge \phi_2$
  - **possibly-infinite** disjunction  $\bigvee_{j \in J} \phi_j$
  - **only** existential quantifier
- A theory is specified by axioms of the form  $\phi_1 \vdash \phi_2$ .

e.g. semigroup :

- $\Sigma = (\{(*, 2)\}, \emptyset)$
- Terms :  $a, a * b, (a * b) * b, \dots$
- Axiom :  $\top \vdash (a * b) * c = a * (b * c)$

# Presheaves

```
def Psh (C:Type) [Category C] := Functor C.op Type
```

A presheaf  $P$  on a category  $C$  contains

- a family of sets  $P(c)$  for  $c \in C$
- functions  $P(f) : P(c_2) \rightarrow P(c_1)$  for  $f : c_1 \rightarrow c_2$

Examples:

- A presheaf on the terminal category is a set.

```
def Type_equiv_Psh : CategoryTheory.Psh Unit ≅ Type
```

- A presheaf  $P$  on the category 2

$$\begin{array}{ccc} 0 & & P(0) := \mathbb{Z} \\ \downarrow & & \uparrow \\ 1 & & P(1) := \mathbb{N} \end{array}$$

# Set Semantics for Geometric Logic

A set model of a theory  $T$  over the signature  $\Sigma$  consists of a set  $X$ , with:

- for each  $n$ -ary operation  $f \in \Sigma$ , a function  $\llbracket f \rrbracket : X^n \rightarrow X$
- for each  $n$ -ary predicate  $P \in \Sigma$ , a subset  $\llbracket P \rrbracket \subseteq X^n$

satisfying the axioms of  $T$

# Presheaf Semantics for Geometric Logic

A presheaf model of a theory  $T$  over the signature  $\Sigma$  consists of a presheaf  $X$ , with:

- for each  $n$ -ary operation  $f \in \Sigma$ , a natural transformation  $\llbracket f \rrbracket : X^n \rightarrow X$
- for each  $n$ -ary predicate  $P \in \Sigma$ , a monomorphism  $S \rightarrowtail X^n$

satisfying the axioms of  $T$

## Contribution

Our Lean formalization (  $\sim 2500$  lines )

- Geometric syntax
- Proof rules
- Interpretation of geometric formulas in presheaves
- Soundness of the proof system for that semantics
- The collection of presheaf models on any category  $C$  forms a category
- **functoriality**

# Functionality of Category of Models

Given

- Categories  $C$  and  $D$
- A functor  $F : C \rightarrow D$
- A model  $M \in \text{Mod}(D) \subseteq \text{Psh}(D)$  of a theory  $T$  in the signature  $\Sigma$

Then  $\leftarrow$  hard part !

- The pullback presheaf  $F^*(M) \in \text{Mod}(C)$  is a model of  $T$
- This defines a functor  $F^* : \text{Mod}(D) \Rightarrow \text{Mod}(C)$

# Example: Connecting to Mathlib

The categories

- Semigroup: semigroup structures
- Mod(Unit): presheaves models of semigroups on the type Unit

are equivalent

Existing:

```
structure Semigrp : Type (u + 1) where
  carrier : Type u
  str : Semigroup carrier
```

Newly defined:

```
def semigroup_thy : theory where
  sig := semigroup_sig
  axioms := [ assoc ]
def semigroup_set_models :=
  InterpPsh.Mod semigroup_thy Unit
```

$$\text{semigroup\_set\_models} \cong \text{Semigrp}$$

# Next Steps

## Immediate Goals

- Refinement using geometric category
- Interesting examples
- Generalization to sheaves

# Next Steps

## Immediate Goals

- Refinement using geometric category
- Interesting examples
- Generalization to sheaves

## Long Term Aims

- Blechschmidt's thesis: application to algebraic geometry
- Deligne's theorem: carrying theorems about set models to other contexts
- Barr's theorem: avoid the Axiom of Choice

## Questions

- Comments?
- What would you guess to be difficult, and how would you deal with it?
- What information did you get out of our work? Is there anything surprising to you?

# Geometric Syntax

```
inductive tm (m : monosig) (n : Nat) where
| var : Fin n → tm m n
| op : (o : m.ops) → (Fin (m.arity_ops o) → tm m n) → tm m n

class SmallUniverse where
U : Type
El : U → Type
inductive fml [SmallUniverse] (m : monosig) : RenCtx → Type where
| pred : (p : m.preds) → (Fin (m.arity_preds p) → tm m n) → fml m n
| true : fml m n
| false : fml m n
| conj : fml m n → fml m n → fml m n
| disj : fml m n → fml m n → fml m n
| infdisj : (a : SmallUniverse.U) → (SmallUniverse.El a → fml m n) →
fml m n
| eq : tm m n → tm m n → fml m n
| existsQ : fml m (n + 1) → fml m n
```

# Proof Rules

```
inductive proof [SmallUniverse] {T:theory}:
{n:RenCtx} → fml T.sig n → fml T.sig n → Prop where
| axiom:s ∈ T.axioms → proof (s.premise.subst σ)(s.concl.subst σ)
| cut:proof φ τ → proof τ ψ → proof φ ψ
| var:proof φ φ
| true_intro:proof φ .true
| false_elim:proof φ .false → proof φ ψ
| conj_intro:proof ν φ → proof ν ψ → proof ν (.conj φ ψ)
| conj_elim_l:proof (.conj φ ψ) φ
| conj_elim_r:proof (.conj φ ψ) ψ
| disj_intro_l:proof φ (.disj φ ψ)
| disj_intro_r:proof ψ (.disj φ ψ)
| disj_elim:proof δ (.disj φ ψ) →
  proof (φ.conj δ) ξ → proof (ψ.conj δ) ξ → proof δ ξ
| infdisj_intro (k : SmallUniverse.El a): proof (φ k) (.infdisj a φ)
| infdisj_elim:proof δ (.infdisj a φ) →
  (forall k, proof (.conj (φ k) δ) ξ) → proof δ ξ
...
```

# Interpretation of Geometric Syntax on a Presheaf

```
structure Str (S : monosig) (C : Type) [Category C] where
  carrier : Psh C
  interp_ops : forall (o : S.ops), npow carrier (S.arity_ops o) →
    carrier
  interp_preds : forall (p : S preds), npow carrier (S.arity_preds p)
    → prop

def interp_fml {S : monosig} {n} (L : Str S C) : fml S n → (npow
  L.carrier n → prop)
| .pred p k ↤ L.interp_subst k ≫ L.interp_preds p
| .true ↤ ⊤
| .conj φ ψ ↤ L.interp_fml φ □ L.interp_fml ψ
| .infdisj a φ ↤ ∐ i : SmallUniverse.El a, interp_fml L (φ i)
| .existsQ φ ↤ existπ (L.interp_fml φ)
```

## Soundness

```
def model {S:monosig} (L:Str S C)(s:sequent S):Prop :=  
  L.interp_fml s.premise ≤ L.interp_fml s.concl  
  
theorem soundness {T:theory} {n:RenCtx} (M:Mod T D) (φ ψ: fml T.sig n)  
  (h:proof φ ψ): model M.str (sequent.mk _ φ ψ)
```

# Pullback of a Model is a Model

```
structure Mod [SmallUniverse] (T : theory) (C : Type) [Category C]
  where
    str : Str T.sig C
    valid : forall s, s ∈ T.axioms → str.model s

  def pb_prod_iso (X : Psh D) (n : Nat) :
    F.op ≫≫ npow X n ≅ npow (F.op ≫≫ X) n := ...

  theorem pb_obj_interp_ops (L : Str T.sig D) (o : T.sig.ops) :
    whiskerLeft F.op (L.interp_ops o) =
    (pb_prod_iso F L.carrier (T.sig.arity_ops o)).hom ≫≫
    (pb_obj F T L).interp_ops o := by ...

  def pb_prop_preserves_interp
    (L : Str T.sig D) (s : sequent T.sig) (h : L.model s) : (pb_obj F T L).model s
  def pullback_Mod : Mod T D ⇒ Mod T C
```