

# Directed equality with dinaturality

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## Motivation: Directed type theory

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The interpretation of directed type theory with  $(1-)$ categories:

Types  $\rightsquigarrow$  Categories

Terms  $\rightsquigarrow$  Functors

Points of a type  $\rightsquigarrow$  Objects of a category

Equalities  $e : a = b \rightsquigarrow$  Morphisms  $e : \text{hom}(a, b)$

$=_A : A \times A \rightarrow \text{Type} \rightsquigarrow \text{hom}_{\mathbb{C}} : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$

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*Type theories with  $\text{refl}/J$  are intrinsically about symmetric equality.*  
**Directed type theory** is the generalization to “directed equality”.

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→ Now types have a *polarity*,  $\mathbb{C}$  and  $\mathbb{C}^{\text{op}}$ , i.e., the opposite category.

→ Now equalities  $e : \text{hom}(a, b)$  have *directionality*.

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- Semantically, refl should be  $\text{id}_c \in \text{hom}_{\mathbb{C}}(c, c)$  for  $c : \mathbb{C}$ .

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- However, directed type theory is not so straightforward:

$$\frac{a : \mathbb{C}}{\text{refl}_{a \dots ?} : \text{hom}_{\mathbb{C}}(a, a)}$$

- *Problem:* rule is not functorial w.r.t. variance of  $\text{hom}_{\mathbb{C}} : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ , since  $a : \mathbb{C}$  appears both contravariantly and covariantly.

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- A possible approach to DTT in **Cat**: use groupoids!  
→ Use the maximal subgroupoid  $\mathbb{C}^{\text{core}}$  to collapse the two variances.
- Then a  $J$ -like rule is validated, but *again using groupoidal structure*.

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- Dinaturality solves the variance issue without groupoids, and tells what syntactic restriction to put on  $J$  to avoid symmetry.
- We give “logical rules” to (co)ends as the *directed quantifiers* of DTT:  $\rightsquigarrow$  rules of DTT give *simple proofs* in category theory, with  $\text{hom}$  as  $=$ .
- We do first-order because (co)end calculus is typically first-order.

## Syntax – judgements for types

- Judgement  $\boxed{C \text{ type}}$  for types:

$$\frac{C \text{ type}}{C^{\text{op}} \text{ type}} \quad \frac{C \text{ type} \quad D \text{ type}}{C \times D \text{ type}} \quad \frac{C \text{ type} \quad D \text{ type}}{[C, D] \text{ type}} \quad \frac{}{\top \text{ type}}$$

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- A judgement  $\boxed{\Gamma \text{ ctx}}$  for contexts, i.e., lists of types, with also  $\Gamma^{\text{op}}$  ctx.
- **Semantics:** contexts are interpreted as the product of categories.

$$\llbracket \Gamma := [C_1, \dots, C_n] \rrbracket := \llbracket C_1 \rrbracket \times \dots \times \llbracket C_n \rrbracket$$

# Directed type theory: judgements for terms

- A judgement  $\boxed{\Gamma \vdash t : C}$  for simply-typed terms.
- **Semantics:** terms are interpreted as functors  $\llbracket t \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket C \rrbracket$ .

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$$\frac{\Gamma \ni x : C}{\Gamma \vdash x : C} \quad \frac{}{\Gamma \vdash ! : \top} \quad \frac{\Gamma \vdash s : C \quad \Gamma \vdash t : D}{\Gamma \vdash \langle s, t \rangle : C \times D}$$
$$\frac{\Gamma \vdash p : C \times D}{\Gamma \vdash \pi_1(p) : C} \quad \frac{\Gamma \vdash p : C \times D}{\Gamma \vdash \pi_2(p) : D}$$

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 \frac{\Gamma \vdash p : C \times D}{\Gamma \vdash \pi_1(p) : C} \quad \frac{\Gamma \vdash p : C \times D}{\Gamma \vdash \pi_2(p) : D} \quad \dots \\
 \frac{\Gamma \vdash t : C}{\Gamma^{\text{op}} \vdash t^{\text{op}} : C^{\text{op}}}
 \end{array}$$

- Definitional equality on terms  $\boxed{\Gamma \vdash t = t' : C}$  is such that  $(t^{\text{op}})^{\text{op}} = t$ .

- A judgement  $\boxed{[\Gamma] P \text{ prop}}$  for predicates.
- **Semantics:** dipresheaves, i.e., functors  $\llbracket P \rrbracket : \llbracket \Gamma \rrbracket^{\text{op}} \times \llbracket \Gamma \rrbracket \rightarrow \mathbf{Set}$ .

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- Formation rules:

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 \frac{[\Gamma] P \text{ prop} \quad [\Gamma] Q \text{ prop}}{[\Gamma] P \times Q \text{ prop}} \quad \frac{[\Gamma] P \text{ prop} \quad [\Gamma] Q \text{ prop}}{[\Gamma] P \Rightarrow Q \text{ prop}} \quad \frac{}{[\Gamma] \top \text{ prop}} \\
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 \frac{[\Gamma, x : C] P(x) \text{ prop}}{[\Gamma] \int^{x:C} P(x) \text{ prop}} \quad \frac{[\Gamma, x : C] P(x) \text{ prop}}{[\Gamma] \int_{x:C} P(x) \text{ prop}}
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- **Semantics:**  $\times$  is the pointwise product of dipresheaves in  $\mathbf{Set}$ ,  
 $\Rightarrow$  is the pointwise hom in  $\mathbf{Set}$ , (co)ends are always taken in  $\mathbf{Set}$ .

## Syntax – predicates (contd.)

- Directed equality predicates:

$$\frac{\Gamma^{\text{op}}, \Gamma \vdash s : C^{\text{op}} \quad \Gamma^{\text{op}}, \Gamma \vdash t : C}{[\Gamma] \text{ hom}_C(s, t) \text{ prop}}$$

- Key idea:** I can use variables from  $\Gamma$  or from  $\Gamma^{\text{op}}$  in the terms  $s, t$ .

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- This is what allows us to *write* these entailments:

$$\begin{array}{l} [x : C] \quad \Phi \vdash \text{refl} : \text{hom}(\bar{x}, x) \\ [a : C^{\text{op}}, b : C, c : C] \text{ hom}(a, b), \text{ hom}(\bar{b}, c), \Phi \vdash \text{trans} : \text{hom}(a, c) \\ [a : C^{\text{op}}, b : C] \quad \text{hom}(a, b), \Phi \vdash \text{sym} : \text{hom}(\bar{b}, \bar{a}) \end{array}$$

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- Polarity of a position:** *positive* when taken from  $\Gamma$ , *negative* when  $\Gamma^{\text{op}}$ .

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*natural* when always taken from  $\Gamma$ ,

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- Polarity of a position:** *positive* when taken from  $\Gamma$ , *negative* when  $\Gamma^{\text{op}}$ .
- Variance of a variable:**  
*natural* when always taken from  $\Gamma$ ,  
*dinatural* (i.e., mixed-variance) when sometimes from  $\Gamma$ , sometimes  $\Gamma^{\text{op}}$ .

## Syntax – entailments

- A judgement  $\boxed{[\Gamma] \Phi \vdash \alpha : P}$  for entailments ( $\Phi$  is a list of predicates).

$$[x : C, y : D, \Gamma] \Phi(\bar{x}, x, \bar{y}, y, \dots) \vdash \alpha : P(\bar{x}, x, \bar{y}, y, \dots)$$

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$$[x : C, y : D, \Gamma] \Phi(\bar{x}, x, \bar{y}, y, \dots) \vdash \alpha : P(\bar{x}, x, \bar{y}, y, \dots)$$

- **Semantics:** interpreted as dinatural transformations  $\llbracket \alpha \rrbracket : \llbracket \Phi \rrbracket \dashrightarrow \llbracket P \rrbracket$ :

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Takeaway: whenever we need dinats to compose, they do because of this.

# Syntax – rules for `hom`

- Directed equality introduction:

$$\frac{}{[x : C, \Gamma] \Phi \vdash \text{refl}_x : \text{hom}_C(\bar{x}, x)} \text{ (refl)}$$

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- Semantics:** functoriality of  $\llbracket \Phi \rrbracket$  and  $\llbracket P \rrbracket$ .

## Example (Transitivity of directed equality)

Composition is natural in  $a : C^{\text{op}}, c : C$  and dinatural in  $b : C$ :

$$\frac{\frac{}{[z : C, c : C]} \quad \frac{}{g : \text{hom}(\bar{z}, c) \vdash g : \text{hom}(\bar{z}, c)} \text{(var)}}{[a : C^{\text{op}}, b : C, c : C] \quad f : \text{hom}(a, b), g : \text{hom}(\bar{b}, c) \vdash J(g) : \text{hom}(a, c)} \text{(J)}$$

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We contract  $f : \text{hom}(a, b)$ . Rule (J) can be applied:  $a, b$  appear only negatively in ctx ( $a$  does not) and positively in conclusion ( $\bar{b}$  does not).

## Example (Congruence)

Functoriality of terms  $P$  is natural in  $a : C^{\text{op}}, b : C$  for terms  $C \vdash F : D$ :

$$\frac{\frac{\frac{}{[z : D] \cdot \vdash \text{refl}_x : \text{hom}_D(\bar{x}, x)}{\text{refl}} \quad (\text{refl})}{[z : C] \cdot \vdash F^*(\text{refl}_x) : \text{hom}_D(F(\bar{z}), F(z))} \quad (\text{id}_x)}{[a : C^{\text{op}}, b : C] e : \text{hom}_C(a, b) \vdash J(F^*(\text{refl}_x)) : \text{hom}_D(F(a), F(b))} \quad (J)$$

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## Example (Transport)

Functoriality of predicates  $P$  is natural in  $b : C$ , dinatural in  $a : C$ :

$$\frac{\frac{}{[z : C] p : P(z) \vdash p : P(z)} \text{(var)}}{[a : C^{\text{op}}, b : C] e : \text{hom}(a, b), p : P(\bar{a}) \vdash J(p) : P(b)} \text{(J)}$$

## Failure of symmetry for directed equality

The restrictions do *not* allow us to obtain directed equality is symmetric:

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- Semantically, the interval  $I := \{0 \rightarrow 1\}$  is a counterexample to derivability of this entailment in the syntax.

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## Example (Left unitality for composition)

$$\frac{}{[z : C, c : C] g : \text{hom}(\bar{z}, c) \vdash \text{comp}[\text{refl}_z, g] = g : \text{hom}(\bar{z}, c)} \quad (J\text{-comp})$$

## Example (Terms send identities to identities)

$$\frac{}{[z : C] \Phi \vdash \text{map}[\text{refl}_z] = F^*(\text{refl}_z) : \text{hom}(F(\bar{z}), F(z))} \quad (J\text{-comp})$$

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$$\frac{\frac{[w : C] \cdot \vdash \text{refl}_w ; \text{refl}_w = \text{refl}_w : \text{hom}(\bar{w}, w)}{[a : C^{\text{op}}, z : C] f : \text{hom}(a, z) \vdash f ; \text{refl}_z = f : \text{hom}(a, z)}}{(J\text{-comp})} \quad (J\text{-eq})$$

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To prove associativity, simply contract  $f : \text{hom}(a, b)$ :

$$\frac{[z, c, d : C] \quad g : \text{hom}(\bar{z}, c), h : \text{hom}(\bar{c}, d) \vdash \text{refl}_z ; (g ; h) = (\text{refl}_z ; g) ; h : \text{hom}(\bar{z}, d)}{[a, b, c, d : C] f : \text{hom}(\bar{a}, b), g : \text{hom}(\bar{b}, c), h : \text{hom}(\bar{c}, d) \vdash f ; (g ; h) = (f ; g) ; h : \text{hom}(\bar{a}, d)} \quad (J\text{-eq})$$

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- This also works for dinaturality because *transport* is a natural.

## Example (Natural transformations for terms)

Given a natural transformation  $\alpha$  from  $F$  to  $G$ ,

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We prove naturality of families simply by contracting  $f : \text{hom}(a, b)$ :

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- We can internalize all these transformations using ends:

$$[] \cdot \vdash \alpha : \text{Nat}(F, G) := \int_{\bar{x}:C} \text{hom}_D(F(\bar{x}), G(x))$$

$$[] \cdot \vdash \alpha : \text{Nat}(P, Q) := \int_{x:C} P(\bar{x}) \Rightarrow Q(x)$$

# Directed type theory: logical rules

- Logical rules are given as isomorphisms in "adjoint form":

$$\frac{[\Gamma] \Phi \vdash P \times Q}{[\Gamma] \Phi \vdash P, \quad [\Gamma] \Phi \vdash Q} \text{ (prod)}$$

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$$\frac{[x : \Gamma] A(\bar{x}, x), \Phi(\bar{x}, x) \vdash B(\bar{x}, x)}{[x : \Gamma] \Phi(\bar{x}, x) \vdash A(x, \bar{x}) \Rightarrow B(\bar{x}, x)} \text{ (exp)}$$

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- Rules for (co)ends in "adjoint" form:

$$\frac{[a : C, \Gamma] \Phi \vdash Q(\bar{a}, a)}{[\Gamma] \Phi \vdash \int_{a:C} Q(\bar{a}, a)} \text{ (end)} \quad \frac{[\Gamma] \left( \int^{a:C} Q(\bar{a}, a) \right), \Phi \vdash P}{[a : C, \Gamma] Q(\bar{a}, a), \Phi \vdash P} \text{ (coend)}$$

- This is the presentation  $\forall/\exists$ -as-adjoints, up to composition of dinaturals.

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## Theorem

There is a bijection (natural in  $P, Q : \mathbb{C}^{\text{op}} \times \mathbb{C} \rightarrow \mathbf{Set}$ ) between sets of dinaturals and sets of **naturals** like this:

$$\frac{P \overset{\bullet\bullet}{\rightarrow} Q}{\text{hom}(a, b) \longrightarrow P^{\text{op}}(b, a) \Rightarrow Q(a, b)}$$

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## Theorem

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$$\left. \begin{array}{c} \frac{[z : C, \Gamma] \quad \Phi(\bar{z}, z) \vdash P(\bar{z}, z)}{[a : C^{\text{op}}, b : C, \Gamma] \text{ hom}_C(a, b) \vdash \Phi(b, a) \Rightarrow P(a, b)} \\ \frac{[a : C^{\text{op}}, b : C, \Gamma] \text{ hom}_C(a, b), \Phi(\bar{b}, \bar{a}) \vdash P(a, b)}{\text{(exp)}} \end{array} \right\} (J)$$

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- Syntax:** all rules for  $\text{hom}$  are derivable  $\iff (J)$  is an iso is derivable.

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- Using our rules we can prove category theory theorems “logically”.
- We use (co)end calculus-style reasoning, i.e., we show that two presheaves are isomorphic using Yoneda.
- Adjoint form is better suited to (co)end calculus style reasoning: term-based reasoning is hard because of dinaturality.
- Rules for (co)ends as quantifiers + directed equality:
  - (Co)Yoneda,
  - Adjointness of Kan extensions via (co)ends,
  - Presheaves are closed under exponentials,
  - Associativity of composition of profunctors,
  - Right lifts in profunctors,
  - (Co)ends preserve limits,
  - Adjointness of (co)ends in natural transformations,
  - Characterization of dinaturals as certain ends,
  - Frobenius property of (co)ends using exponentials.

# (Co)end calculus with dinaturality (1)

Yoneda lemma: ( $\llbracket P \rrbracket, \llbracket \Gamma \rrbracket : \llbracket C \rrbracket \rightarrow \mathbf{Set}$ )

$$\frac{\frac{[a : C] \Gamma(a) \vdash \int_{x:C} \text{hom}_C(a, \bar{x}) \Rightarrow P(x)}{\frac{\frac{\frac{[a : C, x : C] \Gamma(a) \vdash \text{hom}_C(a, \bar{x}) \Rightarrow P(x)}{\frac{[a : C, x : C] \text{hom}_C(\bar{a}, x) \times \Gamma(a) \vdash P(x)}{[z : C] \Gamma(z) \vdash P(z)} \text{(hom)}}{\text{(exp)}} \text{(end)}}{\text{(hom)}} \text{(exp)}}{\text{(hom)}} \text{(end)}$$

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CoYoneda lemma:

$$\frac{[a : C] \int_{x:C} \text{hom}_C(\bar{x}, a) \times P(x) \vdash \Gamma(a)}{\frac{}{[a : C, x : C] \text{hom}_C(\bar{a}, x) \times P(a) \vdash \Gamma(x)}{\text{(coend)}}} \text{(hom)}$$
$$\frac{}{[z : C] P(z) \vdash \Gamma(z)}$$

# (Co)end calculus with dinaturality (2)

Presheaves are cartesian closed:  $([\Gamma], [A], [B] : [C] \rightarrow \mathbf{Set})$

$$\begin{array}{c} [x : C] \Gamma(x) \vdash (A \Rightarrow B)(x) \\ := \mathbf{Nat}(\mathbf{hom}_C(x, -) \times A, B) \\ \cong \int_{y:C} \mathbf{hom}_C(x, \bar{y}) \times A(\bar{y}) \Rightarrow B(y) \\ \hline \hline [x : C, y : C] \Gamma(x) \vdash \mathbf{hom}_C(x, \bar{y}) \times A(\bar{y}) \Rightarrow B(y) \quad (\text{end}) \\ \hline \hline [x : C, y : C] A(y) \times \mathbf{hom}_C(\bar{x}, y) \times \Gamma(x) \vdash B(y) \quad (\text{exp}) \\ \hline \hline [y : C] A(y) \times \left( \int^{x:C} \mathbf{hom}_C(\bar{x}, y) \times \Gamma(x) \right) \vdash B(y) \quad (\text{coend}) \\ \hline \hline [y : C] A(y) \times \Gamma(y) \vdash B(y) \quad (\text{coYoneda}) \end{array}$$

# (Co)end calculus with dinaturality (3)

Right Kan extensions via ends are right adjoints to precomposition with  $F : C \rightarrow D$  ( $P : C \rightarrow \mathbf{Set}, \Gamma : D \rightarrow \mathbf{Set}$ ):

$$\begin{array}{c} [y : D] \Gamma(y) \vdash (\mathbf{Ran}_F P)(y) \\ := \int_{x:C} \mathbf{hom}_D(y, F(\bar{x})) \Rightarrow P(x) \\ \hline [x : C, y : D] \Gamma(y) \vdash \mathbf{hom}_D(y, F(\bar{x})) \Rightarrow P(x) \quad (\text{end}) \\ \hline [x : C, y : D] \mathbf{hom}_D(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \quad (\text{exp}) \\ \hline [x : C, y : D] \mathbf{hom}_D(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \quad (\text{coend}) \\ \hline [x : C] \int^{y:D} \mathbf{hom}_D(\bar{y}, F(x)) \times \Gamma(y) \vdash P(x) \\ \hline [x : C] \Gamma(F(x)) \vdash P(x) \quad (\text{coYoneda}) \end{array}$$

# (Co)end calculus with dinaturality (5)

Fubini for ends ( $\Gamma : []$  prop,  $P : [C, D]$  prop)

$$\frac{\frac{[] \Gamma \vdash \int_{x:C} \int_{y:D} P(\bar{x}, x, \bar{y}, y)}{\text{(end)}}}{\frac{[x : C] \Gamma \vdash \int_{y:D} P(\bar{x}, x, \bar{y}, y)}{\text{(end)}}} \text{(structural property)} \frac{[y : D, x : C] \Gamma \vdash P(\bar{x}, x, \bar{y}, y)}{\text{(end)}} \frac{[y : D] \Gamma \vdash \int_{x:C} P(\bar{x}, x, \bar{y}, y)}{\text{(end)}}{[] \Gamma \vdash \int_{y:D} \int_{x:C} P(\bar{x}, x, \bar{y}, y)}$$

## Conclusion and future work

*We have seen how dinaturality allows us to give a semantic interpretation to a first-order directed type theory in **Cat** with quantifiers, where directed equality is given by hom-functors and quantifiers by (co)ends.*

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- 3 Immediate future: a working notion of *dinatural context extension*  
↪ towards *dependent dinatural directed type theory*.

The  $\int$ .

Paper: "*Directed equality with dinaturality*" (arXiv:2409.10237)  
Website: [iwilare.com](http://iwilare.com) (← updated version is here!)

Thank you for the attention!